



PROJECT DOCUMENT

PROPOSED ACTIVITIES FOR THE YEAR 2020

Project ID: 0620200101			
Program Category:	Project under the ASEAN-SEAFDEC ASSP and FCG Mechanism		
Project Title:	Assistance for Capacity Development in the Region to Address International Fisheries-related Issues		
Program Strategy No:	V	Total Period	2020 - 2024
Lead Department:	Secretariat (SEC)	Lead Country:	None
Donor/Sponsor:	Japanese Trust Fund (JTF)	Total Project Budget:	USD 444,500
Project Partner(s):	None	Budget for 2020:	USD 86,000
Lead Technical Officer:	Suwanee Sayan, Senior Program Officer / SEC	Project Participating Country(ies)	All Members Countries

PART I: PROJECT DESCRIPTION

1. Executive Summary:

Regarding regional approaches in addressing the major gaps in the international fisheries-related issues tend to impede the sustainable development of fisheries and aquaculture in the Southeast Asian region. The project aims to provide a platform where discussions among the ASEAN Member States (AMSs) can be made, including regional approaches that could be raised to the international fisheries forum (e.g. FAO, meetings of RFMOs, CITES, WTO, etc.). A number of key recommendations by regional and international experts at the meetings to be organized by the project could be used as a basis for regional actions by SEAFDEC and national actions by AMSs. Major outputs of such regional meetings organized by the project over the years include: regional common/coordinated positions and recommendations for national and regional action plans to safeguard the interest in the region and also supports to AMSs on awareness raising for international fisheries-related issues. As a result, inputs from SEAFDEC and AMSs at global level, such as the FAO Committee on Fisheries (COFI), the Endangered Species of Wild Fauna and Flora (CITES), can be made.

2. Background and Justification:

Over the years, SEAFDEC has monitored the potential international issues on fish and fish products in Southeast Asia and provided a platform for AMSs through the organization of Expert Meetings and Regional Technical Consultations where the discussions concluded with key recommendations on the trade-related and environment-related issues on international concerns. For example, the proposed listing of commercially-exploited Aquatic Species (CEAS) into the CITES Appendices is one of the crucial issues that could impact not only on the management of fisheries but also on the economies of the countries in the region. Such impacts are anticipated not only as a result of new regulations in trade of the species being listed into the CITES Appendices, but also in the trade of look-alike species, as well as trade in parts or processed forms of the species. The listing of species into the CITES Appendices could therefore result in the termination of data collections on the production of these species, leading to the unavailability of data and information on the status of the species after being listed. Furthermore, the difficulties could also be encountered in proposing to delist or down-list the species once these are listed in the CITES Appendices. At the 32nd Meeting of the SEAFDEC Program Committee in 2000, SEAFDEC was asked to carefully give consideration on the proposed listing of CEAS into the Appendices of the CITES. In response, SEAFDEC has initiated the implementation of the program

“Assistance of Capacity Building in the Region to Address International Fish-Trade Related Issues” under the ASEAN-SEAFDEC Strategic Partnership mechanism.

Since then, SEAFDEC has conducted a series of regional fora to facilitate discussions and development of common/coordinated positions among AMSs in the region on the proposals to list certain aquatic species into the CITES Appendices. SEAFDEC has also been undertaking technical activities on the conservation and management as well as on the sustainable utilization of various aquatic species that could be proposed for listing in the Appendices, e.g. sharks and rays, seahorses, sea cucumbers, sea turtles and catadromous eels. These activities aim to come up with data and information on the status and trends of the production and utilization of these species, as well as the existing conservation and management measures undertaken by the countries in the region. The information compiled would serve as basis for discussions on the proposed listing of the species into the CITES Appendices. Furthermore, with a funding support from the CITES-EU, SEAFDEC has been undertaking since 2016 the project that would support the improvement of data collections specifically for recording of sharks and rays at species level, and also the capacity building in the development of Non-Detriment Findings (NDFs) for the conduct of scientific reviews that would justify as to whether the trade endangers the species or not.

SEAFDEC also provides a platform for AMSs to discuss on harmonized approaches toward the issue on fisheries subsidies. For example, the Regional Technical Consultation (RTC) on International Fisheries-related Issues on 20-22 June 2018 in Bangkok, Thailand, included discussions on fisheries subsidies. During the 2018 RTC, it was agreed that the scope of fisheries subsidies should focus on the types of fishing gear and not by species, and that fisheries subsidies should not be considered as standalone issue, as it has a close linkage with other initiatives, e.g. sustainability of the fish stocks. In order that the discussions would also address the issues that concern the region, it was agreed that AMSs should consider the possibility of sending a country delegate that comprises especially their national fisheries officers to attend in different clusters of fishery subsidies negotiations. Meanwhile, SEAFDEC should also facilitate the identification of a focal point of each AMS as well as the development of the ASEAN common position on fishery subsidies for adoption by the ASEAN Minister on Agriculture and Forestry (AMAF) to be reflected at the WTO fora upon consideration by the SEAFDEC Council.

In addition, SEAFDEC has been working on the promotion of sustainable fisheries development in the Southeast Asian region since its establishment in 1967. The SEAFDEC program frameworks to support AMSs has been significantly changed in 1998 when SEAFDEC adopted the Resolution on SEAFDEC Strategic Plans at the 30th Meeting of the SEAFDEC Council and more clear after the new millennium in 2001 when ASEAN-SEAFDEC adopted the “Resolution and Plan of Action on Sustainable Fisheries for Food Security in the ASEAN Region” as well as the new decade Resolution and Plan of Action on Sustainable Fisheries for Food Security toward 2020 in 2011 as policy guidelines for SEAFDEC and its member countries. To support the implementation of the Resolution and Plan of Action, taking into account environmental changes and many policy emerging issues pressing at global and regional levels including the problems of IUU fishing, SEAFDEC therefore proposes its continuing efforts to further strengthen the SEAFDEC network among AMSs in order to move forward on the sustainable utilization of fisheries resources in the region. In conjunction to this, the cooperation within the region and among AMSs needs to be further enhanced and included in the project framework under the new JTF 6-II in order to share and exchange information and to work together to meet the final goal of the ASEAN SEAFDEC Resolutions and Plan of Action that has been committed by all AMSs.

3. Gender Sensitivity of the Project

No gender sensitive issue in this project because this project welcomed all genders to participate *e.g.* RFPN member.

4. Project Goal, Outcome, Outputs, Activities, Indicators and Verification:

4.1 Logical Framework

GOAL (Overall Objectives)	Indicators	Means of Verification
Sustainable utilization and sound management of fisheries resources through appropriate regional approaches in international fish trade	<ul style="list-style-type: none"> - Regional cooperation in international fish trade - Responsible fisheries practice is maintained 	<ul style="list-style-type: none"> - Improved international fish trade - Effective and efficient fisheries management
OUTCOME 1	Indicators	Means of Verification
Actions of AMSs at the international fora reflecting a more understanding with supportive data/information	All AMSs can update and share their status on the international fish trade-related issues	Improved information on and improved capacities of AMSs in international fish trade-related issues
OUTPUT 1	Indicators	Means of Verification
The status of international fish trade-related issues updated and informed AMSs	Detailed information on international fish trade-related issues	Updates of international fish trade-related issues
ACTIVITY 1	Indicators: key inputs (Number to be conducted, Where, Time)	Means of Verification
Activity 1.1: Participation in the relevant regional/international forum on international fish trade, <i>e.g.</i> FAO COFI, CITES, etc.	Participation of one SEAFDEC staff in FAO COFI, CITES, etc.	<ul style="list-style-type: none"> - Meeting report - Back-to-Office report - Newsletter - Appropriate budget allocated for meetings participations
Activity 1.2: The status of international fish trade-related issues reviewed	At least once a year to review/updated status of the international fish trade-related issues	Updates of international fish trade-related information and issues
OUTPUT 2	Indicators	Means of Verification
Cooperation among AMSs aiming to safeguard fisheries and aquaculture of the Southeast Asian region through the development the common/ coordinated positions on the international fish trade-related issue and acknowledge the impact from the international fish trade-related issues	<ul style="list-style-type: none"> - Strengthened cooperation in the region - Common/ coordinated positions on the international fish trade-related issue developed 	Common/ coordinated positions on the international fish trade-related issue
ACTIVITY 2	Indicators: key inputs (Number to be conducted, Where, Time)	Means of Verification

<p>Activity 2.1: A platform for Regional Technical Consultation (RTC) (or Senior Official Meeting if required) provided to discuss the international fish trade-related issues which may impact to the development of fisheries and aquaculture in the Southeast Asian region</p>	<ul style="list-style-type: none"> - RTC organized in 2020 - Expected number (50 persons) of participants 	<ul style="list-style-type: none"> - Consultation report - Number (50 persons) of participants - Appropriate budget allocated for meeting participations
<p>Activity 2.2: RTC organized to discuss and develop a common/coordinated position and policy recommendations for AMSs</p>	<ul style="list-style-type: none"> - RTC organized in 2021 - Expected number (50 persons) of participants 	<ul style="list-style-type: none"> - Number (50 persons) of participants - Report of the RTC - The Country's Position on the proposed CEAS by COP as well as the country's views on each proposal to be addressed at the Council Meeting for consideration and adoption - ASEAN-SEAFDEC Common Positions on Inclusion of the Commercially - exploited Aquatic Species (CEAS) to the CITES Appendix
OUTPUT 3	Indicators	Means of Verification
Communications and cooperation with AMSs through the Regional Fisheries Policy Network (RFPN) strengthened	<ul style="list-style-type: none"> - Better communications and improved cooperation between SEAFDEC and AMSs - Effective RFPN roles 	<ul style="list-style-type: none"> - Appropriate communications - Efficient actions by RFPN members
ACTIVITY 3	Indicators: key inputs (Number to be conducted, Where, Time)	Means of Verification
<p>Activity 3.1: Capacities of RFPN enhanced through the participation in SEAFDEC meetings/ workshops</p>	Participation of RFPN members in SEAFDEC meetings/workshops	<ul style="list-style-type: none"> - Meeting report - Back-to-Office report - Newsletter - Appropriate budget allocated for meetings / workshops participations
<p>Activity 3.2: SEAFDEC Fisheries Country Profiles updated under the assignments of RFPN</p>	SEAFDEC Fisheries Country Profiles updated	Updated SEAFDEC Fisheries Country Profiles in the SEAFDEC website
<p>Activities 3.3: Communications with AMSs improved through the support /</p>	Information obtained, planned work initiated and actions taken	Planned work completed based on appropriate

advice of RFPN members	timely	information obtained from AMSs
OUTPUT 4	Indicators	Means of Verification
Information on international fisheries-related issues disseminated in the Southeast Asian region	Updated information on international fisheries-related issues disseminated	Number of publications and dissemination
ACTIVITY 4	Indicators: key inputs (Number to be conducted, Where, Time)	Means of Verification
Activity 4.1: Preparation, production and dissemination of the publications on international fisheries-related issues or the results of the project	Publications produced and disseminated	Publications on international fisheries-related issues

4.2 Project Implementation Plan for 2020 - 2024

Activities	2020				2021				2022				2023				2024			
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Output 1:																				
Activity 1.1	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Activity 1.2			x	x			x	x			x	x						x	x	
Output 2:																				
Activity 2.1		x				x				x		x		x		x		x		x
Activity 2.2									x	x	x	x								
Output3:																				
Activity 3.1	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Activity 3.2			x	x			x	x			x	x			x	x			x	x
Activity 3.3																				
Output4:																				
Activity 4.1				x				x				x				x				x

4.3 Proposed Budget for 2020 - 2024

(Unit: USD)

Output	Activities	Year 1 (2020)	Year 2 (2021)	Year 3 (2022)	Year 4 (2023)	Year 5 (2024)
Output 1	Activity 1.1	7,500	3,000	10,000	3,000	7,000
	Activity 1.2	1,000	1,000	1,000	1,000	1,000
Output 2	Activity 2.1	26,000	25,000	25,000	25,000	25,000
	Activity 2.2	-	-	25,000	-	-
Output 3	Activity 3.1	50,000	50,000	50,000	50,000	50,000
	Activity 3.2	500	500	500	500	500
	Activity 3.3	-	-	-	-	-
Output 4	Activity 4.1	1,000	1,000	1,500	1,000	1,000
Sub-Total		86,000	80,500	113,000	80,500	84,500

PART II: PROJECT ACHIEVEMENTS IN 2019

Note: No information in 2019 are available since the new JTF 6-II activities will commence in 2020.

PART III: PROPOSED ACTIVITIES FOR THE YEAR 2020

1. Project Summary in 2020:

In 2020, SEAFDEC will continue to support SEAFDEC staff to participate in the relevant regional/international fora e.g. FAO COFI, CITES, etc. and update the status of the international fish trade-related issues thought providing a platform for Regional Technical Consultation (RTC) for AMSs to discuss the international fish trade-related issues which may impact to the development of fisheries and aquaculture in the Southeast Asian region. The meeting results and/or Meeting Report will be produced and disseminated at the end of the year. In addition, SEAFDEC continues to support and strengthen the cooperation with AMSs through four members of the Regional Fisheries Policy Networks (RFPNs) such as their participation in SEAFDEC meetings/workshops, updates of Country Profiles and assistance in smooth and effective communications with AMSs under the assignments of RFPN.

2. Outcome, Outputs and Activities and Proposed Budget:

(Unit: USD)

Proposed Activities	Descriptions	Proposed Budget
Outcome 1:	Actions of AMSs at the international fora reflecting a more understanding with supportive data/information	
Output 1:	Review/updated status of the international fish trade-related issues	
Activity 1.1	<p>Participation in the relevant regional/international forum on international fish trade, e.g. FAO COFI, CITES, etc.</p> <p><i>SEAFDEC will participate in the international/regional fora to be updated on the on the international fish trade-related issues e.g. AEG-CI TES, FAO Panel, etc.</i></p> <p><i>Estimated expenditures:</i></p> <ul style="list-style-type: none"> • <i>Traveling Cost</i> = 3,500 USD • <i>Daily subsistence Allowance</i> = 1,750 USD • <i>Accommodation</i> = 2,250 USD 	7,500
Activity 1.2	<p>The status of international fish trade-related issues reviewed</p> <p><i>SEAFDEC will update information and current situation on the issues from the international events will be shared to all AMSs for their consideration and information.</i></p>	-
Outcome 2:	Cooperation among AMSs, ASEAN and SEAFDEC Member Countries aiming to safeguard fisheries and aquaculture of SEA region through the development the common/ coordinated positions on the international fish trade-related issue and acknowledge the impact from the international fish trade-related issues	
Output 2:	<p>The Country's Position on the proposed CEAS by COP as well as the country's views on each proposal to be addressed at the Council Meeting for consideration and adoption.</p> <p>ASEAN-SEAFDEC Common/Coordinated Position and regional</p>	

	fishery policy recommendations.	
Activity 2.1	<p>Provide platform for Regional Technical Consultation (RTC) or even Senior Official Meeting (if required) in order to discuss and consider the international fish trade related issues in which may impacts to the development of fisheries and aquaculture in the Southeast Asian Region</p> <p><i>SEAFDEC will be organized the Regional Technical Consultation with the participation from AMSs to seek views and update the status on the international fish trade related issues in their country in which may impacts to the development of fisheries and aquaculture in the Southeast Asian Region. The outputs from the RTC are the regional interest to be address at the International fora</i></p> <p><i>Estimated expenditures:</i></p> <ul style="list-style-type: none"> • <i>Traveling Cost</i> = 13,000 USD • <i>Daily subsistence Allowance</i> = 3,300 USD • <i>Accommodation</i> = 5,200 USD • <i>Meeting package</i> = 3,500 USD • <i>Other</i> = 1,000 USD <p><i>Remarks: RTC will be organized in Thailand (2 days)</i></p>	26,000
Outcome 3:	Enhanced regional coordination and collaboration among SEAFDEC Member Countries through	
Output 3:	Strengthened cooperation with ASEAN Member Countries through RFPN	
Activity 3.1	<p>Support RFPNs and enhanced RFPNs capacity through participations of ASEAN-SEAFDEC Meetings</p> <p><i>RFPN members are fishery officers from ASEAN Member States who are invited and stationed at SEAFDEC Secretariat, and to be involved in activities of SEAFDEC especially in terms of coordination with their respective countries. SEAFDEC also supports the expenditures of RFPN members' stationing at SEAFDEC Secretariat as well as business trip to attend related meetings in the region aiming at enhancing capacity of the RFPN Members.</i></p> <p><i>Estimated expenditures:</i></p> <ul style="list-style-type: none"> • <i>Traveling Cost</i> = 1,800 USD (450 USD x 4 prs) • <i>Honorarium (750 USD x 12 month x 4 Prs)</i> = 36,000 USD • <i>Accommodation (225 USD x 12 month x4 Prs)</i> = 10,800 USD • <i>Other expenses</i> = 1,400 USD (Health insurance, airport taxes, visa, etc.) 	50,000
Activity 3.2	<p>SEAFDEC Fisheries Country Profiles updated under the assignments of RFPN</p> <p><i>RFPN members will be assigned to updated country profile on the topic related to the international fish trade-related issues.</i></p>	-
Activity 3.3	Communications with AMSs improved through the support / advice of RFPN members	-

	<i>RFPN members will be assisted and supported SEAFDEC to communication with AMSs to take actions in a timely and smoothly.</i>	
Outcome 4:	Information/Results and report of the meeting on the international fish trade-related issues were distribute to all participants and who interested	
Output 4:	Publication of the results of the project	
Activity 4.1	Produce and disseminate the publications related to international fisheries related issues or the results of the project <i>Meeting Report will be produced and disseminated.</i> <i>Estimated expenditures:</i> <ul style="list-style-type: none"> • <i>Printing meeting results and/or Meeting Report = 1,000 USD</i> 	1,000

3. Implementation Plan of Activities in 2020:

Activities	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Output 1:												
Activity 1.1			x					x				
Activity 1.2	x	x	x	x	x	x	x	x	x	x	x	x
Output 2:												
Activity 2.1				x	x	x	x	x	x			
Output 3:												
Activity 3.1	x	x	x	x	x	x	x	x	x	x	x	x
Activity 3.2							x	x	x	x	x	x
Activity 3.3	x	x	x	x	x	x	x	x	x	x	x	x
Output 4:												
Activity 4.1									x	x	x	x

4. Expected Activity Results in 2020:

Planned activity	Expected Activity Results
Activity 1 Actions of AMSs at the international fora reflecting a more understanding with supportive data/information	
Activity 1.1. Participation in the relevant regional/international forum on international fish trade, e.g. FAO COFI, CITES, etc.	<ul style="list-style-type: none"> • Strengthened coordination between SEAFDEC and Member Countries and organizations on statistics-related matters.
Activity 1.2. Review the status of international fish trade-related issues	<ul style="list-style-type: none"> • Updated information and current situation on the issues from the international events will be shared to all AMSs for their consideration and information.
Activity 2 Provide platform to develop the regional recommendations, Common/Coordinated Positions and regional fishery policy recommendations	
Activity 2.1. Provide platform for Regional Technical Consultation (RTC) or even Senior Official Meeting (if required) in order to discuss and consider the international fish trade related issues in which may impacts to the development of fisheries and aquaculture in the Southeast Asian Region.	<ul style="list-style-type: none"> • The regional interest to be address at the International fora such as the draft of Regional Policy Recommendation. • This draft would be further submission to the SEAFDEC Council Director for endorsement and also high level respectively.

Planned activity	Expected Activity Results
Activity 3 Strengthened cooperation with ASEAN Member Countries through RFPN	
Activity 3.1 Support RFPNs and enhanced RFPNs capacity through participations of ASEAN-SEAFDEC Meetings.	<ul style="list-style-type: none"> • Enhanced knowledge and understanding of the 4-RFPN members on SEAFDEC policy, project implementations and activities. • Gained experiences in writing the report of the meeting and improved their working performance. • Able to expose themselves to work under multi-national environment. • Create network among the Members, facilitating closer cooperation among the Member Countries in the future.
Activity 3.2 SEAFDEC Fisheries Country Profiles updated under the assignments of RFPN.	<ul style="list-style-type: none"> • Country Profile on Fisheries were compiled and presented by those RFPN members through an assignment given to them.
Activity 3.3 Communications with AMSs improved through the support/advice of RFPN members.	<ul style="list-style-type: none"> • RFPN members assisted and supported SEAFDEC to communication with AMSs to actions taken timely and work smoothly.
Activity 4 Publication of the results of the project	
Activity 4.1 Produce and disseminate the publications related to international fisheries related issues or the results of the project.	<ul style="list-style-type: none"> • Published and disseminated Meeting Report or the results of the project.